

SOC 2 Compliance & GRC Transformation at Gotham Technology Group

Powered by Hotman Group

General Overview

Gotham Technology Group (Gotham) needed to formalize its governance and compliance functions to meet SOC 2 requirements, so they partnered with Hotman Group to streamline compliance, improve visibility, and scale GRC maturity alongside business growth. This was achieved by guiding the Gotham team to mature and formalize their processes, de-silo compliance efforts, and increase adoption of GRC tooling to create efficiencies and increase accountability.

Goals

Advance compliance and organizational maturity to strengthen and streamline Gotham's GRC program:

- Establish clear, audit-ready policies and procedures aligned with SOC 2 Trust Services Criteria.
- Enhance and standardize compliance activities to ensure consistency and close documentation gaps.
- Introduce an integrated, intuitive GRC platform to serve as the single source of truth for governance and risk management.
- Align GRC tooling with everyday workflows to drive stronger adoption, accountability, and confidence across teams.

Results

Gotham achieved a stronger compliance posture and greater operational confidence through measurable, lasting outcomes:

- Centralized, searchable control inventory fully aligned with SOC 2 requirements.
- Traceable policies and automated workflows that streamlined audits and simplified compliance management.
- Clear ownership and accountability structures that fostered sustainable GRC maturity across the organization.

Approach (Phase 1 + 2)

A collaborative, two-phase engagement combining assessment and advisory:

- Centered on practical transformation and continuous improvement while maintaining smooth day-to-day operations.
- Co-reviewed existing policies, controls, and tooling to identify key enhancement opportunities.
- Co-developed tailored policies and documentation to strengthen Gotham's compliance framework.
- Integrated user-friendly tooling that aligned seamlessly with Gotham's established workflows.
- Refined processes to ensure consistency, traceability, and audit readiness aligned with SOC 2 requirements.

Hotman Group helped us go from 'we think we're doing the right things' to 'we know, and we can prove it.' The structure, clarity, and confidence we now have in our GRC program is night and day.

Gotham Founder & CTO, Ken Phelan